

Express Mail ER 554 942 655 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Paul Ritchie et al.

Serial No. : Art Unit: Not assigned

Filed : November 25, 2003 Examiner: Not assigned

For : ENERGY DELIVERY DEVICE WITH SELF-HEAT CALIBRATION

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the Non-US references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ There are no listed references which are not in the English language.

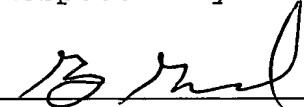
☐ The relevance of those listed references which are not in the English language is as follows:

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/END 5122/GSG.

Respectfully submitted,



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DATED: November 25th 2003

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Application Number	
Filing Date	November 25, 2003
First Named Inventor	Paul Ritchie et al.
Group Art Unit	Not assigned
Examiner Name	Not assigned
Attorney Docket Number	END-5122

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		Re. 36,473		Esch et al.	12-28-1999	ALL
		4,476,512		Sunago et al.	10-09-1984	ALL
		4,695,697		Koss	09-22-1987	ALL
		4,708,494		Kleinerman	11-24-1987	ALL
		5,004,913		Kleinerman	04-02-1991	ALL
		5,057,099		Rink	10-15-1991	ALL
		5,074,632		Potter	12-24-1991	ALL
		5,154,707		Rink et al.	10-13-1992	ALL
		5,469,524		Esch et al.	11-21-1995	ALL
		5,493,629		Stange	02-20-1996	ALL
		5,754,717		Esch	05-19-1998	ALL
		5,802,229		Evans et al.	09-01-1998	ALL
		5,848,209		Evans et al.	12-08-1998	ALL
		5,875,275		Evans et al.	02-23-1999	ALL
		5,908,415		Sinofsky	06-01-1999	ALL
		5,945,667		Bohnert et al.	08-31-1999	ALL

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				
		WO	92/17243	A2	Conn et al.	10-115-1992	ALL	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

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Filing Date

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First Named Inventor

Paul Ritchie et al.

Group Art Unit

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Examiner Name

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		Number	Kind Code ² (if known)			
		5,946,441		Esch	08-31-1999	ALL
		6,240,225		Prohaska	05-29-2001	ALL
		6,370,310		Jin et al.	04-09-2002	ALL
		6,503,269		Nield et al.	01-07-2003	ALL
		6,522,806		James, IV et al.	02-18-2003	ALL
		6,562,028		Nield et al.	05-13-2003	ALL
		2001/0025173 A1		Ritchie et al.	09-27-2002	ALL
		2002/0081871 A1		Swayze et al.	06-27-2002	ALL
		2003/0118302 A1		Schubert et al.	06-26-2003	ALL

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		Office ³	Number ⁴	Kind Code ⁵				

Examiner
Signature

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